



GE Money's 'Know Your Intermediary' Personal Loan Broker Application

In order to comply with the Know Your Intermediary (KYI) requirements of GE Money for the introduction of personal loans, the following documentation and information must be provided.

Once completed, please fax this application (pages 1-5), together with all supporting documentation, to the GE Money KYI Accreditation Department on **1300 655 819** or email to **OperationsSupport.Queries@ge.com**

Please be aware that GE will not process your application if either an incomplete application or incomplete documentation is faxed through to the KYI Accreditations Department.

Approval of this application will not occur until all relevant training requirements (details of which will be distributed separately) are completed successfully.

CHECKLIST

Please ensure the following items have been provided together with this application form prior to faxing to GE Money for appraisal:

- A clear and readable copy of your Driver's licence or Passport (photo page)
- Letter of Affiliation with Introducer (Page 5)

If you are applying for accreditation as:

- An Employee, please supply the following:**

- Authorisation from Licensee authorising applicant to engage in credit activities with GE (Page 5)

OR

- An Authorised Credit Representative, please supply the following:**

- Authorisation from Licensee authorising applicant to engage in credit activities with GE (Page 5)
- Authorised Credit Representative number (Page 5)

OR

- An Australian Credit Licensee (i.e. holds an Australian Credit License (ACL), please supply the following:**

- Confirmation that the Applicant has appropriate policies & procedures in place to comply with obligations under the National Consumer Credit Protection Act 2009 (National Credit Act) regime, including Responsible Lending (Page 5)

APPLICATION – ALL FIELDS MANDATORY

Title	First Name	Middle Name	Surname

Job Title	Date of Birth (dd/mm/yyyy)

Email Address

Office address

	Suburb	State	Postcode

Contact Numbers:

Work	Mobile	Fax

EMPLOYMENT DETAILS

Registered Company Name where you work *(Name of the company you are employed with)*

Trading Name of Company where you work *(Trading Name of the company you are employed with)*

ABN of Company where you work

Introducer (Aggregator) the Applicant is affiliated with

IMPORTANT NOTE

You should read the following Privacy Notice carefully as it sets out how GE may deal with your personal information.

Privacy Notice

In this Privacy Notice:

'GE' means GE Capital Finance Australasia Pty Ltd (ABN 93 070 396 020) and all members of the GE Group of companies in Australia, including, without limitation: GE Personal Finance Pty Ltd ABN 53 008 443 810, 572 Swan Street, Richmond, VIC 3121 trading as GE Money

'Accreditation' means accreditation by GE under its internal "Know Your Intermediary" process for business accreditation of intermediaries it deals with.

'Agreement' means an Agreement with GE which authorises you or an Introducer for whom you work to introduce applicants for certain finance products to GE or to undertake other duties on behalf of GE.

'Applicant' means the person named as the Applicant in Schedule 1 of this Notice.

'Application' means an application by the Applicant for the Accreditation.

1. Consent to the use of my personal information in connection with the Application

By applying for the Accreditation I am and will be disclosing personal information about me to GE. I agree to the Introducer listed in Schedule 1 providing personal information about me to GE. I acknowledge that some or all of this personal information, and any other personal information GE collects about me, ("my personal information") will or may be used by GE to assess the Application for the Accreditation, and if the Application is approved, for the subsequent administration of the arrangements and agreements that will apply following the Accreditation.

I consent to GE using and disclosing my personal information for this purpose and acknowledge that without this information GE may be unable to consider my application for the Accreditation, and to perform necessary administrative tasks following my Accreditation.

2. Disclosure and collection of my personal information

I understand that GE may share my personal information, and any other information they collect about me, with each other and with the Introducer named in Schedule 1; other financial institutions and credit providers; service providers; other entities to whom GE (or any of its related companies) is required by law, or authorised by me, to disclose my personal information, and subject to the Privacy Act 1988 (the "Privacy Act") and the other terms of this Notice, I consent to any such disclosure and collection regardless of how or when that information was collected.

3. Transfer of information overseas

I agree to the transfer of my personal information by GE to organisations overseas, but only for use in connection with any purpose that GE is authorised by this Notice or the Privacy Act.

4. Access to information

Subject to the provisions of the Privacy Act, I acknowledge that I may have access to my personal information collected and held by GE. I acknowledge that I can enquire of GE as to the identity of each related company of GE and may contact GE for access to my personal information by writing to "The Privacy Officer" at GE Personal Finance Pty Ltd ABN 53 008 443 810, 572 Swan Street, Richmond, VIC 3121

DECLARATION BY APPLICANT

I wish to perform the services contemplated by the Agreement with respect to certain financial products (**Services**). I have read and now agree and consent to the matters set out in this application.

1) I confirm that the information contained in this Application is complete and correct and truly represents my position at the time of signing the Application.

2) I acknowledge and agree to the matters set out in the Privacy Notice which sets out how GE Money collect, use and disclose my personal information.

3) I acknowledge that, except as described in (4), below, I have no authority to act as GE Money's agent or in any way act on GE Money's behalf and that my appointment as a Broker is an appointment made solely by the Introducer named in Schedule 1.

4) I understand that I will, at GE Money's request (and in each case subject to the express terms of its request), be its agent when

- a) Performing a statutory obligation on GE Money's behalf;
- b) Giving a pre-contractual disclosure document to a prospective customer; and
- c) Obtaining a required privacy consent from the prospective customer

Applicant Name

Signature

Dated

SCHEDULE 1 – To be completed

Applicant is an Australian Credit Licensee

I confirm that the Applicant has appropriate policies & procedures to comply with obligations under the National Consumer Credit Protection Act 2009 (National Credit Act) regime, including Responsible Lending

Name of Applicant

Signature of Applicant

Australian Credit License Number

OR

Applicant is an Authorised Credit Representative

Authorised Credit Representative Number

Name of Australian Credit Licensee authorising applicant to engage in credit activities with GE

Signature of Australian Credit Licensee

Australian Credit License Number

OR

Applicant is an Employee

Name of Australian Credit Licensee authorising applicant to engage in credit activities with GE

Signature of Australian Credit Licensee

Australian Credit License Number

INTRODUCER

Name of Introducer with whom the Applicant is affiliated (Letter of Affiliation to be provided)